

**JKU**

**JOHANNES KEPLER  
UNIVERSITY LINZ**

# Skolem Function Continuation for Quantified Boolean Formulas

Katalin Fazekas<sup>1</sup>    Marijn J. H. Heule<sup>2</sup>  
Martina Seidl<sup>1</sup>    Armin Biere<sup>1</sup>

<sup>1</sup>Johannes Kepler University Linz, Austria

<sup>2</sup>The University of Texas at Austin, Austin, USA

20. July, 2017

*11th International Conference on Tests & Proofs*

# INTRODUCTION - QBF



# Quantified Boolean Formulas (QBF):

- Extension of propositional logic
  - Boolean variables
  - Logical connectives
  - Quantifiers ( $\forall$ ,  $\exists$ ) over the Boolean variables
- Harder to decide satisfiability (PSPACE-complete)
- Shorter encoding than SAT (NP-complete)

# QBFs in Formal Verification

## ■ Bounded Model Checking:

- Aim: discover undesired behaviours of systems
- Given a model for a system and a set of bad states
- Starting from an initial state, is there a bad state that is reachable in  $k$  (or less) steps?

# QBFs in Formal Verification

## ■ Bounded Model Checking:

- Aim: discover undesired behaviours of systems
- Given a model for a system and a set of bad states
- Starting from an initial state, is there a bad state that is reachable in  $k$  (or less) steps?

## ■ Synthesis

# QBFs in Formal Verification

- Bounded Model Checking:

- Aim: discover undesired behaviours of systems
- Given a model for a system and a set of bad states
- Starting from an initial state, is there a bad state that is reachable in  $k$  (or less) steps?

- Synthesis

- Equivalence checking

- ...

# QBF Syntax

closed QBF in prenex form

$$\exists x \exists y \forall u \exists z. (u \rightarrow z) \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z) \wedge (x \leftrightarrow \neg y)$$



# QBF Syntax

closed QBF in prenex form

$$\exists x \exists y \forall u \exists z. (u \rightarrow z) \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z) \wedge (x \leftrightarrow \neg y)$$

prefix

# QBF Syntax

closed QBF in prenex form

$$\exists x \exists y \forall u \exists z. (u \rightarrow z) \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z) \wedge (x \leftrightarrow \neg y)$$

prefix

matrix

# QBF Syntax

closed QBF in prenex form

$$\underbrace{\exists x \exists y \forall u \exists z}_{\text{prefix}} \cdot \underbrace{(u \rightarrow z) \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z) \wedge (x \leftrightarrow \neg y)}_{\text{matrix}}$$

## ■ QBFs in Prenex CNF (PCNF):

$$\exists x \exists y \forall u \exists z. (\neg u \vee z) \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z)$$

# QBF Syntax

closed QBF in prenex form

$$\underbrace{\exists x \exists y \forall u \exists z}_{\text{prefix}} \cdot \underbrace{(u \rightarrow z) \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z) \wedge (x \leftrightarrow \neg y)}_{\text{matrix}}$$

## ■ QBFs in Prenex CNF (PCNF):

$$\exists x \exists y \forall u \exists z \cdot \underbrace{(\neg u \vee z)}_{\substack{\text{literals} \\ \downarrow \quad \downarrow}} \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z)$$

# QBF Syntax

closed QBF in prenex form

$$\underbrace{\exists x \exists y \forall u \exists z}_{\text{prefix}} \cdot \underbrace{(u \rightarrow z) \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z) \wedge (x \leftrightarrow \neg y)}_{\text{matrix}}$$

## ■ QBFs in Prenex CNF (PCNF):

$$\exists x \exists y \forall u \exists z \cdot \underbrace{(\neg u \vee z)}_{\text{literals}} \wedge \underbrace{(y \vee u \vee \neg z)}_{\text{clause}} \wedge (x \vee \neg u \vee \neg z)$$

# QBF Syntax

closed QBF in prenex form

$$\underbrace{\exists x \exists y \forall u \exists z}_{\text{prefix}} \cdot \underbrace{(u \rightarrow z) \wedge (y \vee u \vee \neg z) \wedge (x \vee \neg u \vee \neg z) \wedge (x \leftrightarrow \neg y)}_{\text{matrix}}$$

## ■ QBFs in Prenex CNF (PCNF):

$$\exists x \exists y \forall u \exists z \cdot \underbrace{(\underbrace{\neg u \vee z}_{\text{literals}}) \wedge (\underbrace{y \vee u \vee \neg z}_{\text{clause}}) \wedge (x \vee \neg u \vee \neg z)}_{\text{CNF}}$$

# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **and**  $Q.\varphi[x \setminus F]$  are true

## QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **and**  $Q.\varphi[x \setminus F]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **or**  $Q.\varphi[x \setminus F]$  is true



# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **and**  $Q.\varphi[x \setminus F]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **or**  $Q.\varphi[x \setminus F]$  is true
- Example:

$$\forall x \exists y. (x \vee \neg y) \wedge (\neg x \vee y)$$

# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **and**  $Q.\varphi[x \setminus \text{F}]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **or**  $Q.\varphi[x \setminus \text{F}]$  is true
- Example:

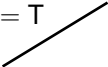
$$\begin{array}{l} \forall x \exists y. (x \vee \neg y) \wedge (\neg x \vee y) \\ x = \text{T} \quad \diagdown \\ \exists y. (\text{T} \vee \neg y) \wedge (\text{F} \vee y) \end{array}$$

## QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **and**  $Q.\varphi[x \setminus \text{F}]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **or**  $Q.\varphi[x \setminus \text{F}]$  is true
- Example:

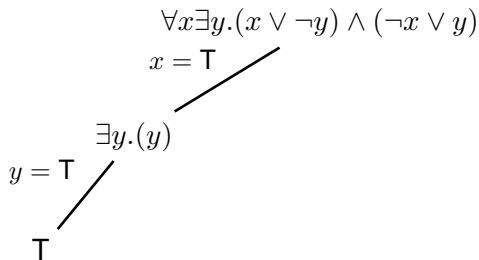
$$\forall x \exists y. (x \vee \neg y) \wedge (\neg x \vee y)$$

$x = \text{T}$


$$\exists y. (y)$$

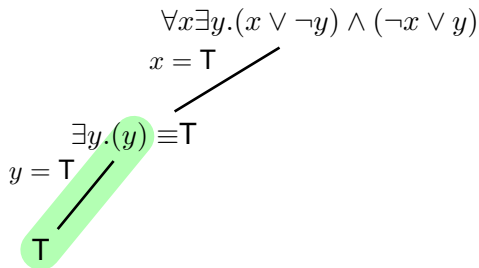
# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **and**  $Q.\varphi[x \setminus \text{F}]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **or**  $Q.\varphi[x \setminus \text{F}]$  is true
- Example:



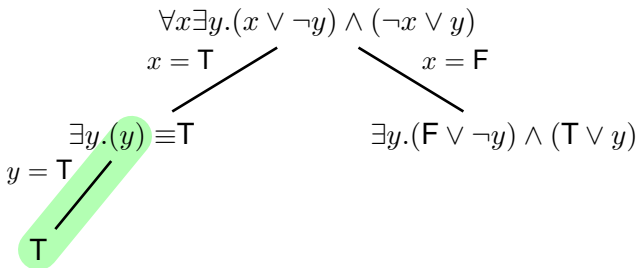
# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **and**  $Q.\varphi[x \setminus \text{F}]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **or**  $Q.\varphi[x \setminus \text{F}]$  is true
- Example:



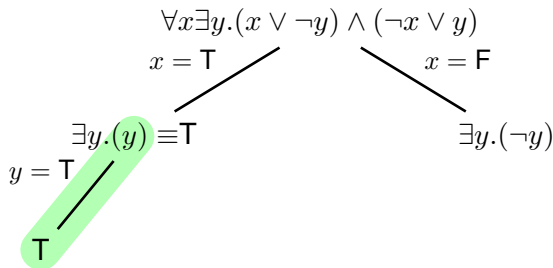
# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **and**  $Q.\varphi[x \setminus \text{F}]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **or**  $Q.\varphi[x \setminus \text{F}]$  is true
- Example:



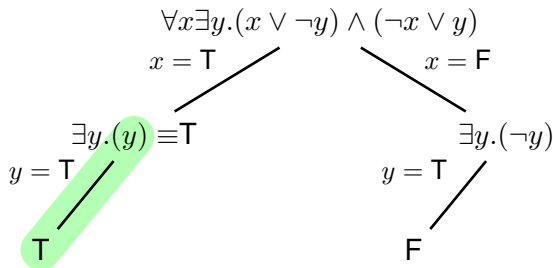
# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **and**  $Q.\varphi[x \setminus \text{F}]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **or**  $Q.\varphi[x \setminus \text{F}]$  is true
- Example:



# QBF Semantics

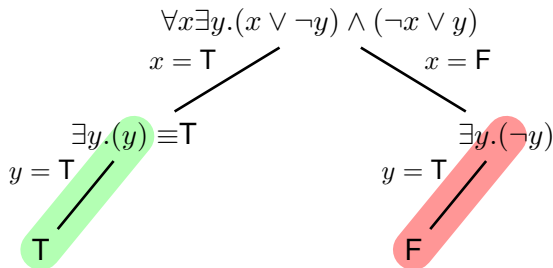
- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **and**  $Q.\varphi[x \setminus \text{F}]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **or**  $Q.\varphi[x \setminus \text{F}]$  is true
- Example:





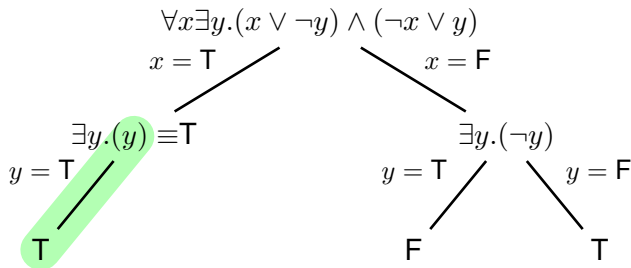
# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **and**  $Q.\varphi[x \setminus \text{F}]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus \text{T}]$  **or**  $Q.\varphi[x \setminus \text{F}]$  is true
- Example:



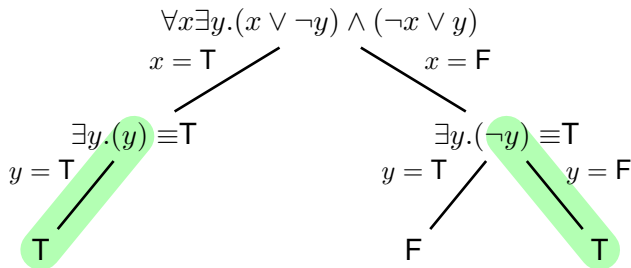
# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **and**  $Q.\varphi[x \setminus F]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **or**  $Q.\varphi[x \setminus F]$  is true
- Example:



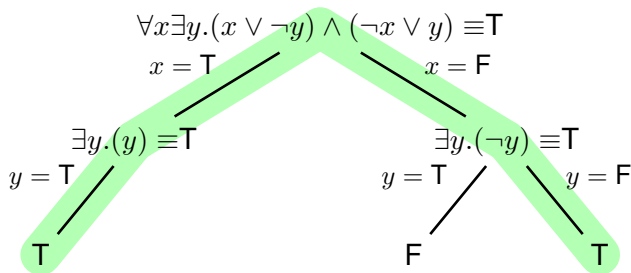
# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **and**  $Q.\varphi[x \setminus F]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **or**  $Q.\varphi[x \setminus F]$  is true
- Example:



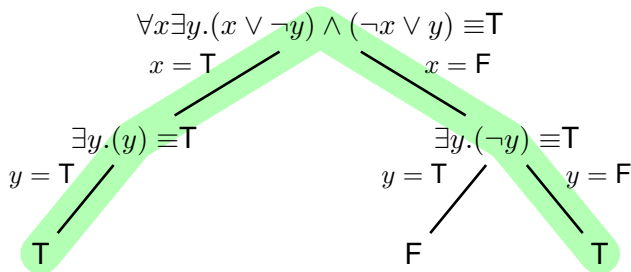
# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **and**  $Q.\varphi[x \setminus F]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **or**  $Q.\varphi[x \setminus F]$  is true
- Example:



# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **and**  $Q.\varphi[x \setminus F]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **or**  $Q.\varphi[x \setminus F]$  is true
- Example:

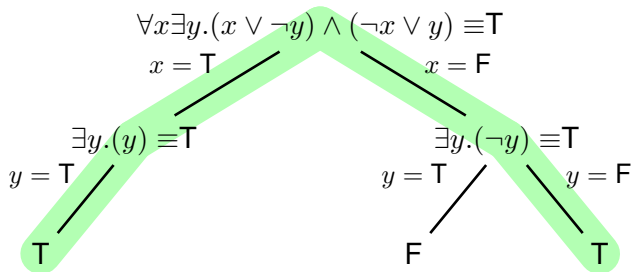


- Skolem-functions of  $\exists$ -variables:

$$sk_y(x)$$

# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **and**  $Q.\varphi[x \setminus F]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **or**  $Q.\varphi[x \setminus F]$  is true
- Example:

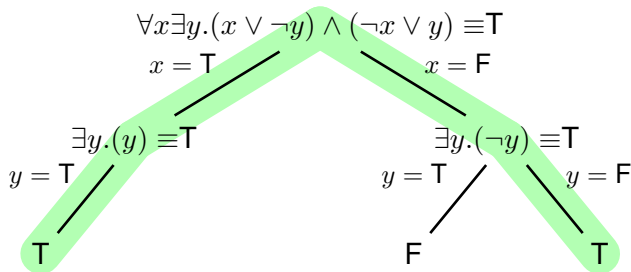


- Skolem-functions of  $\exists$ -variables:

$$sk_y(x) \equiv \text{if } (x == T) \text{ then } T \text{ else } F$$

# QBF Semantics

- $\forall x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **and**  $Q.\varphi[x \setminus F]$  are true
- $\exists x Q.\varphi$  true  $\Leftrightarrow Q.\varphi[x \setminus T]$  **or**  $Q.\varphi[x \setminus F]$  is true
- Example:



- Skolem-functions of  $\exists$ -variables:

$$sk_y(x) \equiv \text{if } (x == T) \text{ then } T \text{ else } F \equiv x$$

# Skolem Functions

## ■ Function

- For each existential variable
- Input arguments: in the prefix preceding  $\forall$ -variables
- Returns Boolean



# Skolem Functions

- Function
  - For each existential variable
  - Input arguments: in the prefix preceding  $\forall$ -variables
  - Returns Boolean
- Succinct encoding of QBF tree-model

# Skolem Functions

- Function
  - For each existential variable
  - Input arguments: in the prefix preceding  $\forall$ -variables
  - Returns Boolean
- Succinct encoding of QBF tree-model
- Semantic certificates (coNP-complete to check)

# Skolem Functions

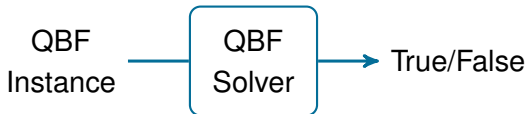
- Function
  - For each existential variable
  - Input arguments: in the prefix preceding  $\forall$ -variables
  - Returns Boolean
- Succinct encoding of QBF tree-model
- Semantic certificates (coNP-complete to check)
- Skolem functions as solution:
  - Bounded model checking: erroneous path

# **QBF PREPROCESSING & SOLVING**



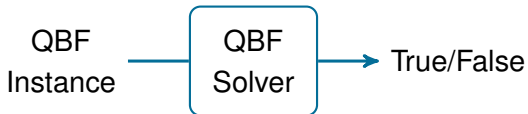
# QBF Solvers

- Evaluate QBFs



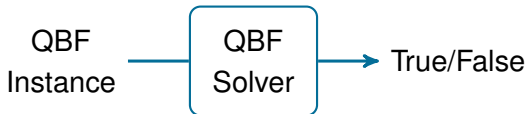
# QBF Solvers

- Evaluate QBFs
- Several tools: depQBF, CAQE, QuBE, sKizzo, RAReQS, ...



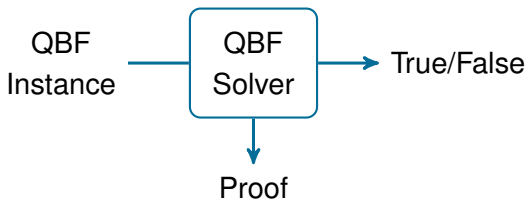
# QBF Solvers

- Evaluate QBFs
- Several tools: depQBF, CAQE, QuBE, sKizzo, RAReQS, ...
- Correctness is essential  $\implies$  proof production



# QBF Solvers

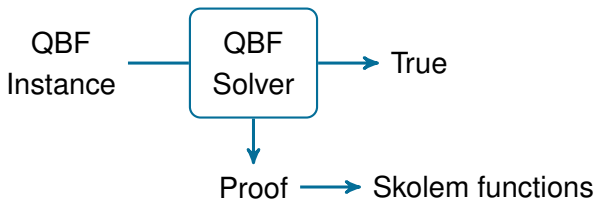
- Evaluate QBFs
- Several tools: depQBF, CAQE, QuBE, sKizzo, RAReQS, ...
- Correctness is essential  $\implies$  proof production





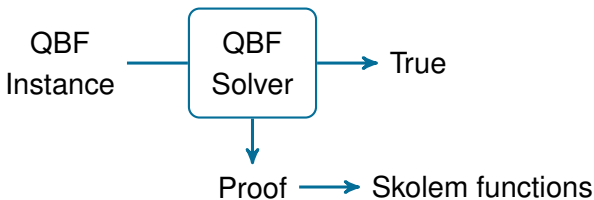
# QBF Solvers

- Evaluate QBFs
- Several tools: depQBF, CAQE, QuBE, sKizzo, RAReQS, ...
- Correctness is essential  $\implies$  proof production
- Skolem functions from proof



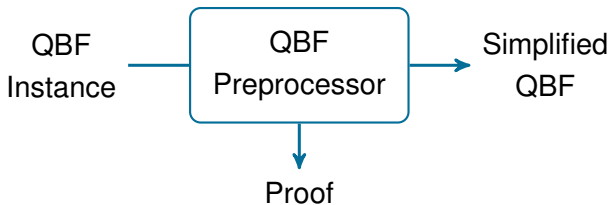
# QBF Solvers

- Evaluate QBFs
- Several tools: depQBF, CAQE, QuBE, sKizzo, RAReQS, ...
- Correctness is essential  $\implies$  proof production
- Skolem functions from proof
- Some problem instances are challenging



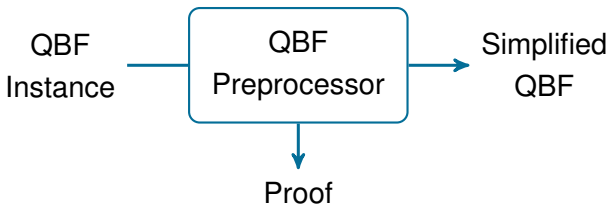
# QBF Preprocessors

- Simplify QBFs



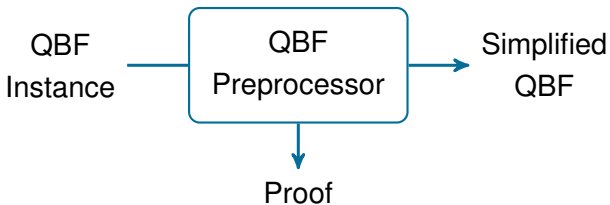
# QBF Preprocessors

- Simplify QBFs
- Several tools: bloqqer, sQueueBF, ...



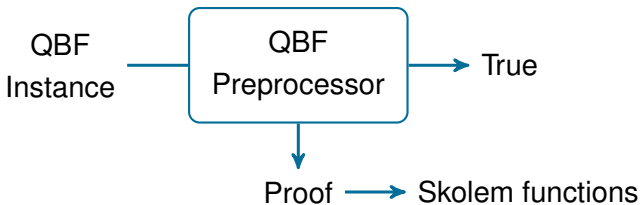
# QBF Preprocessors

- Simplify QBFs
- Several tools: bloqqer, sQueueBF, ...
- Uniform proof format: QRAT traces



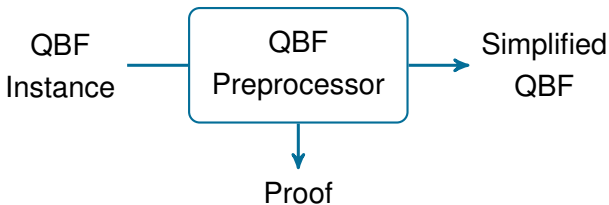
# QBF Preprocessors

- Simplify QBFs
- Several tools: bloqqer, sQueueBF, ...
- Uniform proof format: QRAT traces
- When QBF is simplified to True:
  - Construction of Skolem functions is possible



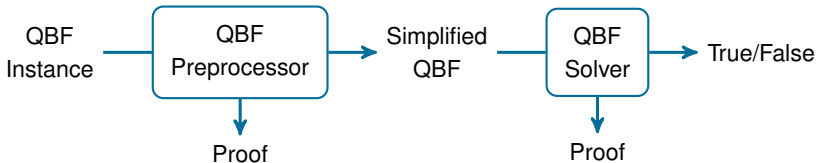
# QBF Preprocessors

- Simplify QBFs
- Several tools: bloqqer, sQueueBF, ...
- Uniform proof format: QRAT traces
- When QBF is simplified to True:
  - Construction of Skolem functions is possible
- Not model-preserving simplification steps



# QBF Solving with Preprocessors and Solvers

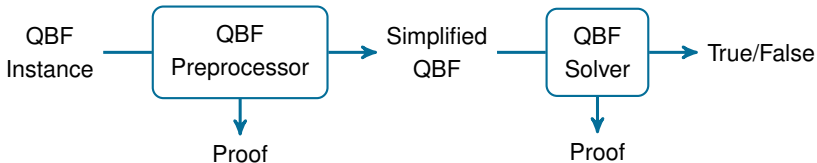
- What if preprocessor simplified but did not solve the QBF?





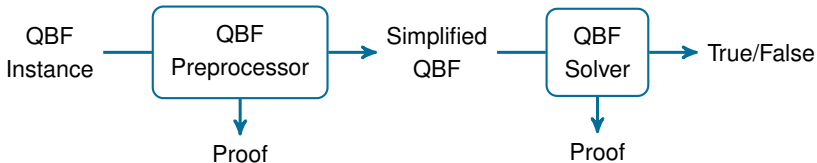
# QBF Solving with Preprocessors and Solvers

- What if preprocessor simplified but did not solve the QBF?
- Problem: How to obtain the original Skolem functions?

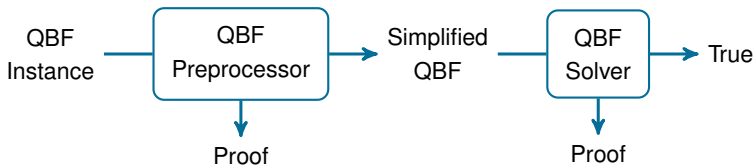


# QBF Solving with Preprocessors and Solvers

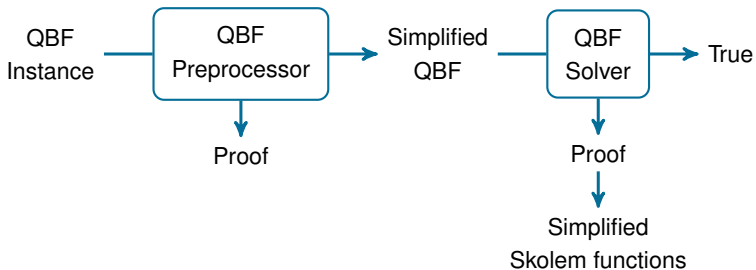
- What if preprocessor simplified but did not solve the QBF?
- Problem: How to obtain the original Skolem functions?
- Solution: Skolem function continuation



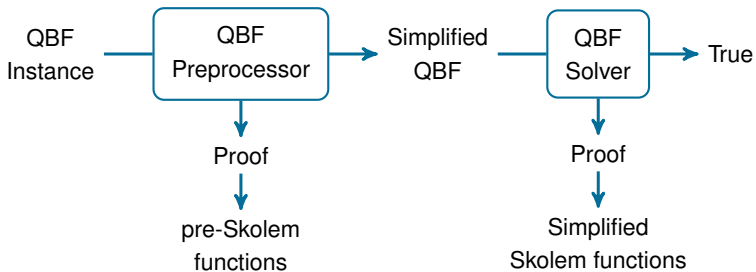
# Skolem Function Continuation



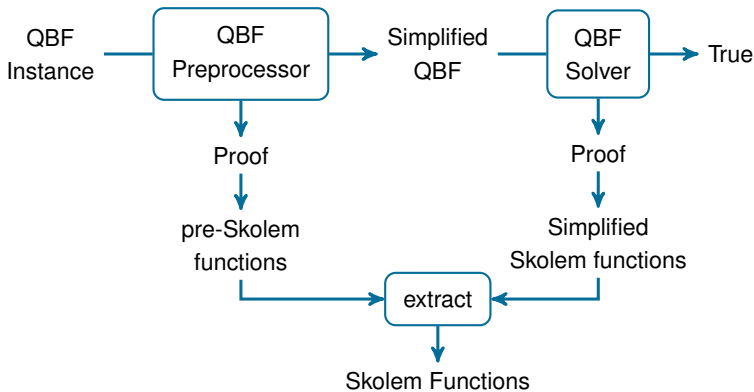
# Skolem Function Continuation



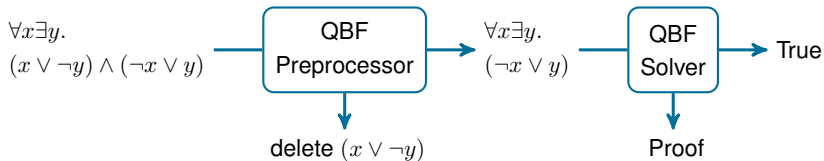
# Skolem Function Continuation



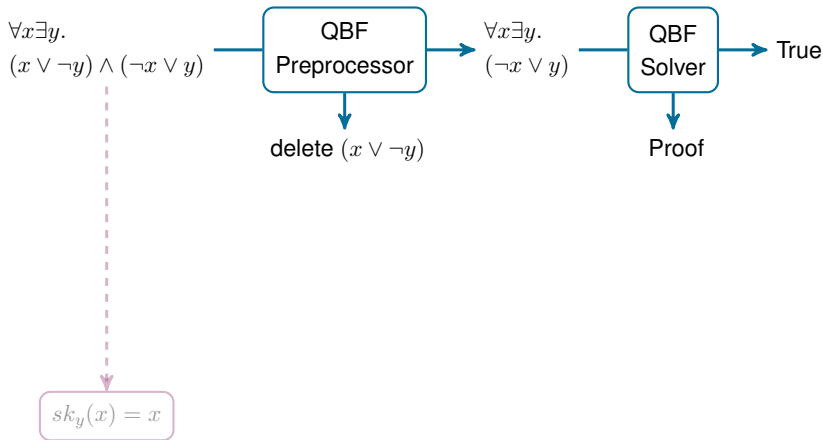
# Skolem Function Continuation



# Skolem Function Continuation

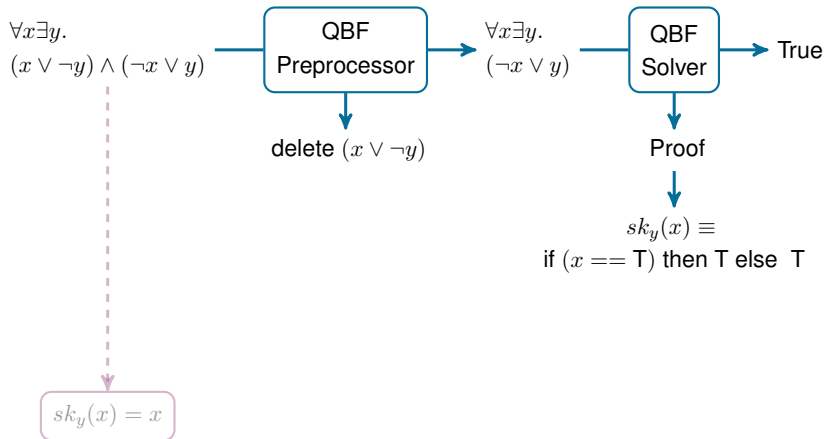


# Skolem Function Continuation

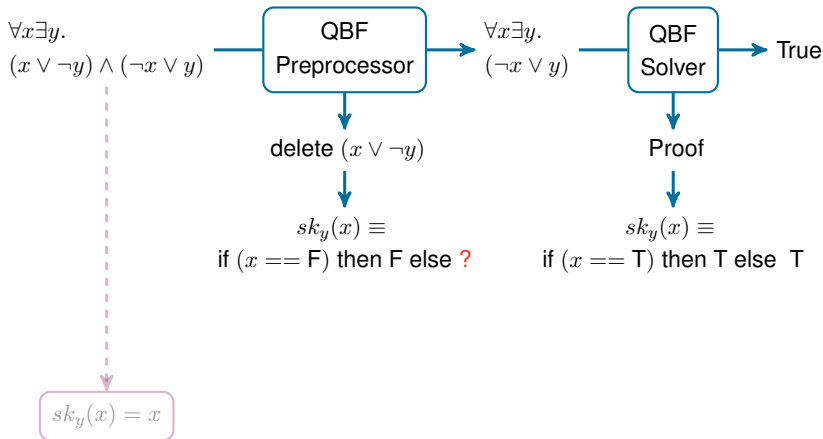




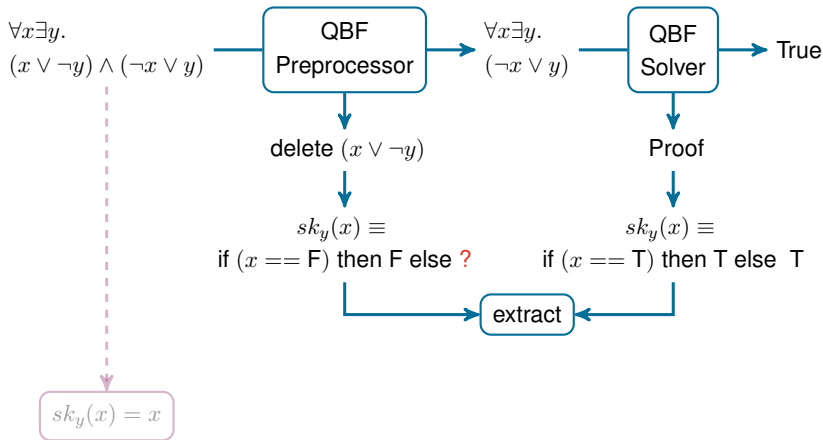
# Skolem Function Continuation



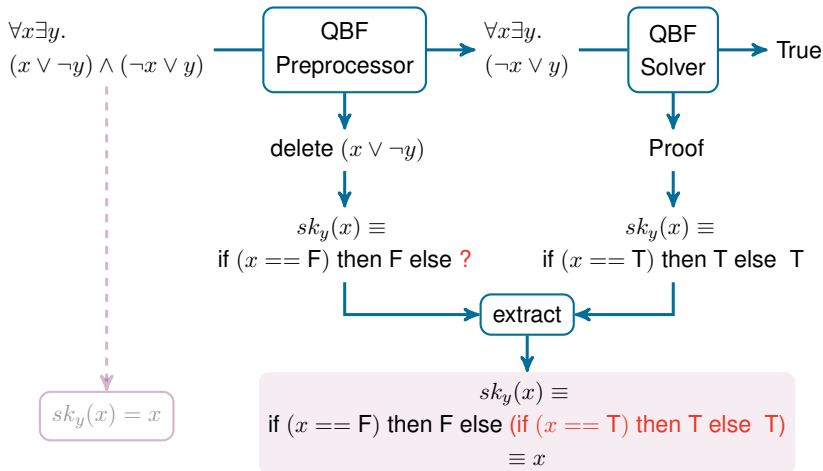
# Skolem Function Continuation



# Skolem Function Continuation



# Skolem Function Continuation



# Implementation

- New tool: **sk-extract**<sup>1</sup>
- Smooth integration into typical QBF solving tool chains
- Performs similarly well as the only available specialized approach
- Evaluation: QBF Eval 2016 main track (competition of QBF solvers)

---

<sup>1</sup><http://fmv.jku.at/sk-extract/>

# Summary

- Skolem functions are important
  - Proof of solvers
  - Solutions in application

# Summary

- Skolem functions are important
  - Proof of solvers
  - Solutions in application
- Problem: Preprocessing vs. Skolem functions

# Summary

- Skolem functions are important
  - Proof of solvers
  - Solutions in application
- Problem: Preprocessing vs. Skolem functions
- Solution: Skolem function continuation



# Summary

- Skolem functions are important
  - Proof of solvers
  - Solutions in application
- Problem: Preprocessing vs. Skolem functions
- Solution: Skolem function continuation
- New tool to extract complete Skolem functions

# Summary

- Skolem functions are important
  - Proof of solvers
  - Solutions in application
- Problem: Preprocessing vs. Skolem functions
- Solution: Skolem function continuation
- New tool to extract complete Skolem functions
- Future work:
  - Skolem function optimization